

09/856051

patent application serial no.

*Department of Commerce
Patent and Trademark Office
fee record*

5/22/2001 UEDUVIJE 00000100 09856051

1	FC:970	860.00	OP
2	FC:966	540.00	OP
3	FC:964	960.00	OP

(1390 REV. 5-93) US DEPT. OF COMMERCE PATENT & TRADEMARK OFFICE

**TRANSMITTAL LETTER TO THE
UNITED STATES
DESIGNATED/ELECTED OFFICE
(DO/EO/US) CONCERNING A FILING
UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER

109.220

U.S. APPLICATION NO.
(If known, sec 37 C.F.R.1.5)

09/856051

INTERNATIONAL APPLICATION NO.
PCT/JP99/06319

INTERNATIONAL FILING DATE
November 12, 1999

PRIORITY DATE CLAIMED
November 18, 1998

TITLE OF INVENTION
EXPOSURE METHOD AND DEVICE

APPLICANT(S) FOR DO/EO/US
Kenji NISHI

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☒ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ Entitlement to small entity status is hereby asserted.
16. ☐ Other items or information:

U.S. APPLICATION NO. 097/856051
C.F.R. 1.53INTERNATIONAL APPLICATION NO.
PCT/JP99/06319ATTORNEY'S DOCKET NUMBER
10952617. ☒ The following fees are submitted:**Basic National fee (37 CFR 1.492(a)(1)-(5)):**

Search Report has been prepared by the EPO or JPO\$860.00

International preliminary examination fee paid to USPTO
(37 CFR 1.482)\$690.00No international preliminary examination fee paid to USPTO
(37 CFR 1.482) but international search fee paid to USPTO
(37 CFR 1.445(a)(2))\$710.00Neither international preliminary examination fee (37 CFR
1.482) nor international search fee (37 CFR 1.445(a)(2))
paid to USPTO\$1,000.00International preliminary examination fee paid to USPTO
(37 CFR 1.482) and all claims satisfied provisions of PCT
Article 33(2)-(4)\$100.00**ENTER APPROPRIATE BASIC FEE AMOUNT =** \$860.00Surcharge of \$130.00 for furnishing the oath or declaration later than
☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR
1.492(e)).

\$

Claims	Number Filed	Number Extra	Rate	
Total Claims	50 - 20 =	30	X \$ 18.00	\$540.00
Independent Claims	15 - 3 =	12	X \$ 80.00	\$960.00
Multiple dependent claim(s) (if applicable)			+ \$270.00	\$

TOTAL OF ABOVE CALCULATIONS = \$2360.00

Reduction by 1/2 for filing by small entity, if applicable.

-

\$

SUBTOTAL = \$2360.00Processing fee of \$130.00 for furnishing the English translation later
than ☐ 20 ☐ 30 month from the earliest claimed priority date (37 CFR
1.492(f)).

\$

+

TOTAL NATIONAL FEE = \$2360.00Amount to be
refunded

\$

Charged

\$

- a. ☒ Check No. 119090 in the amount of \$2360.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 15-0461. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

OLIFF & BERRIDGE, PLC
P.O. Box 19928
Alexandria, Virginia 22320NAME: James A. Oliff
REGISTRATION NUMBER: 27,075NAME: Thomas J. Pardini
REGISTRATION NUMBER: 30,411

U.S. APPLICATION NO. 09/853051
C.F.R. 1.5)INTERNATIONAL APPLICATION NO.
PCT/JP99/06319ATTORNEY'S DOCKET NUMBER
109526

- 17.
- ☒
- The following fees are submitted:

Basic National fee (37 CFR 1.492(a)(1)-(5)):

Search Report has been prepared by the EPO or JPO\$860.00

International preliminary examination fee paid to USPTO
(37 CFR 1.482)\$690.00No international preliminary examination fee paid to USPTO
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- c. ☒ The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 15-0451. A duplicate copy of this sheet is enclosed.

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P.O. Box 19928
Alexandria, Virginia 22320

NAME: James A. Oliff
REGISTRATION NUMBER: 27,075

NAME: Thomas J. Pardini
REGISTRATION NUMBER: 30,411

09/856051
17 MAY 2001
PCT/PTO
PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Kenji NISHI

Attn: PCT Branch

Application No. US National Stage of PCT/JP99/06319

Filed: May 17, 2001

Docket No.: 109526

For: EXPOSURE METHOD AND DEVICE

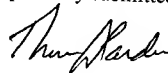
**TRANSLATION OF THE ANNEXES TO THE
INTERNATIONAL PRELIMINARY EXAMINATION REPORT**

Director of the U.S. Patent and Trademark Office
Washington, D.C. 20231

Sir:

Attached hereto is a translation of the annexes to the International Preliminary Examination Report (Form PCT/IPEA/409). The attached translated material adds claims 27-50.

Respectfully submitted,



James A. Oliff
Registration No. 27,075

Thomas J. Pardini
Registration No. 30,411

JAO:TJP/zmc

Date: May 17, 2001

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DEPOSIT ACCOUNT USE
AUTHORIZATION
Please grant any extension
necessary for entry;
Charge any fee due to our
Deposit Account No. 15-0461